

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Klaus VOGELSANG

Serial No.: 10/565,015

For: MOTOR VEHICLE COOLANT CIRCUIT COMPRISING A PUMP AND A RETARDER

International Filing Date: July 9, 2004

US Filing Date: January 18, 2006

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

Confirmation No.: 5167

Customer No.: 27,623 Attorney Docket No.: WW045USU

**Mail Stop PCT**  
**COMMISSIONER FOR PATENTS**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND**  
**PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- ☒ Declaration and Power of Attorney executed by **Klaus VOGELSANG**;
- ☐ The undersigned attorney has verified that the applicant is entitled to a Small Entity Status;
- ☐ \_\_\_\_\_ Sheets of Formal Drawings;
- ☒ An Assignment of the Invention to: **VOITH TURBO GMBH & CO. KG.** including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- ☐ Information Disclosure Statement (Form - 1449);
- ☒ A copy of the Notification of Missing Requirements Under 37 U.S.C. 371;
- ☐ Preliminary Amendment; and

Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

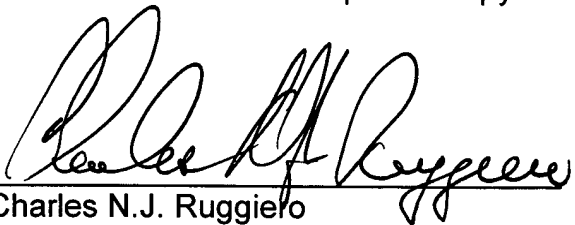
CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$300.00
Total Claims	18 - 20 =	0	x \$50.00	\$
Independent Claims	2 - 3 =	0	x \$200.00	\$
Multiple Dependent Claim Fee		x \$360.00 = \$0.00		
SEARCH FEE		\$500.00		
EXAMINATION FEE		\$200.00		
NATIONAL STAGE APPLICATION SIZE FEE (\$250 FOR EACH ADDITIONAL 50 SHEETS OVER 100 SHEETS)		\$		
TOTAL FILING FEE		\$PAID		
1/2 FILING FEE FOR SMALL ENTITY		\$		

X A check in the amount of \$ 170.00 to cover the surcharge (\$130) and assignment recordation fee (\$40) is enclosed.

X The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

July 6, 2006  
Date

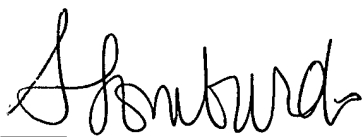
07/11/2006 ATRAN1 00000123 10565015  
01 FC:1617 130.00 00

  
Charles N.J. Ruggiero  
Attorney for Applicant(s)  
Registration No. 29,468  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
One Landmark Square, 10<sup>th</sup> Floor  
Stamford, CT 06901-2682  
Telephone: (203) 327-4500  
Telefax: (203) 327 6401

**CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EV803119584US**, service under 37 CFR §1.10 and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Attention: DO/EO/US on July 6, 2006.

Suzanne Lombardo  
(Typed name of person mailing paper)

  
(Signature of person mailing paper)



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/565,015	Klaus Vogelsang	WW045USU

INTERNATIONAL APPLICATION NO.
-------------------------------

PCT/EP04/07546

I.A. FILING DATE	PRIORITY DATE
07/09/2004	07/19/2003

27623

OHLANDT, GREELEY, RUGGIERO & PERLE, LLP  
ONE LANDMARK SQUARE, 10TH FLOOR  
STAMFORD, CT 06901

**RECEIVED**

JUN 26 2006

OHLANDT, GREELEY,  
RUGGIERO & PERLE, LLP

Date Mailed: 06/16/2006

CONFIRMATION NO. 5167

371 FORMALITIES LETTER



\*OC000000019289006\*

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/18/2006
- English Translation of the IA filed on 01/18/2006
- Copy of the International Search Report filed on 01/18/2006
- Copy of IPE Report filed on 01/18/2006
- Copy of Annexes to the IPE filed on 01/18/2006
- Preliminary Amendments filed on 01/18/2006
- Information Disclosure Statements filed on 01/18/2006
- U.S. Basic National Fees filed on 01/18/2006
- Substitute Specification filed on 01/18/2006
- Priority Documents filed on 01/18/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

Additionally the following defects have been observed:

- Annexes have not been entered because not page for page substitution.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

SHAKEEL AHMED

Telephone: (703) 308-9140 EXT 208

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/565,015	PCT/EP04/07546	WW045USU